

STAFF REPORT

SUBJECT: FTA Section 5311 Funding Authorizing Resolution  
FROM: Jennifer Yeamans, Senior Grants & Management Specialist  
DATE: May 3, 2021

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**Action Requested**

The Finance and Administration Committee recommends the Board of Directors approve Resolution 12-2021 authorizing federal funding under FTA Section 5311 with the California Department of Transportation (Caltrans). This resolution is required to request this funding from Caltrans.

**Background**

FTA Section 5311 funds are primarily used to fund capital and operating expenses for general public transportation services in rural areas, meaning any area outside designated urbanized areas. LAVTA's service area includes both the Concord and Livermore Urbanized Areas as well as nonurbanized areas outside of those boundaries. Federal law designates States as the recipients for 5311 funding, which responsibility in California is assigned to Caltrans, with the Metropolitan Transportation Commission responsible for developing the region's Program of Projects (POP) on a formula basis for eligible transit operators. Caltrans recently released the FY2021 and CRRSAA Call for Projects and provided MTC with apportionments for the same, with a total of \$5.9 million in uncommitted funds available for distribution to eligible operators in the region from both programs.

**Discussion**

Based on MTC's formula, LAVTA is eligible to receive \$46,283 in regular FY2021 5311 funds and \$107,814 in funds appropriated under the Coronavirus Response and Relief Supplemental Appropriations Act (CRRSAA). LAVTA uses 5311 funds to offset the costs of operating routes traversing service area segments designated as non-urbanized. At present, portions of Routes 10R, 14, 15, and 30R qualify.

FY2021 5311 funding for operating assistance requires a 44.67% local match; no local match is required for CRRSAA funds. Caltrans and FTA further require a resolution of local support from the Board of Directors as part of the funding approval process.

**Budget**

The total project budget is \$191,463, which includes \$154,097 in 5311 funds to support eligible operating expenditures and \$37,366 in required local match for the FY2021 funds:

<b>Fund Source</b>	<b>5311 Amount</b>	<b>Local Match</b>	<b>Total</b>
FTA FY2021	\$46,283	\$37,366	\$83,649
CRRSAA	\$107,814	\$0	\$107,814
<b>Total Budget</b>	<b>\$154,097</b>	<b>\$37,366</b>	<b>\$191,463</b>

**Recommendation**

The Finance and Administration Committee recommends the Board of Directors approve Resolution 12-2021, authorizing LAVTA to receive federal funding under FTA Section 5311.

**Attachments:**

1. Resolution 12-2021; 5311 Authorizing Resolution

*Approved:* \_\_\_\_\_

**RESOLUTION NO. 12-2021**

**RESOLUTION OF THE LIVERMORE AMADOR VALLEY TRANSIT AUTHORITY  
BOARD OF DIRECTORS AUTHORIZING THE FEDERAL FUNDING  
UNDER FTA SECTION 5311 (49 U.S.C. SECTION 5311)  
WITH CALIFORNIA DEPARTMENT OF TRANSPORTATION**

**WHEREAS**, the U. S. Department of Transportation is authorized to make grants to states through the Federal Transit Administration to support capital/operating assistance projects for non-urbanized public transportation systems under Section 5311 of the Federal Transit Act (FTA C 9040.1F and FTA C 9050.1); and

**WHEREAS**, the California Department of Transportation (Department) has been designated by the Governor of the State of California to administer Section 5311 grants for transportation projects for the general public for the rural transit and intercity bus; and

**WHEREAS**, the Livermore Amador Valley Transit Authority (LAVTA) desires to apply for said financial assistance to permit operation of service/purchase of capital equipment in Alameda County; and

**WHEREAS**, LAVTA has, to the maximum extent feasible, coordinated with other transportation providers and users in the region (including social service agencies);

**NOW, THEREFORE, BE IT RESOLVED** that the LAVTA Board of Directors does hereby authorize the Executive Director to file and execute applications on behalf of with the Department to aid in the financing of capital/operating assistance projects pursuant to Section 5311 of the Federal Transit Act (FTA C 9040.1G), as amended; and be it further

**RESOLVED** that the Executive Director or his/her designee is authorized to execute and file all certification of assurances, contracts or agreements or any other document required by the Department; and be it further

**RESOLVED** that the Executive Director or his/her designee is authorized to provide additional information as the Department may require in connection with the application for the Section 5311 projects; and be it

**RESOLVED** that the Executive Director or his/her designee is authorized to submit and approve request for reimbursement of funds from the Department for the Section 5311 project(s).

**PASSED AND ADOPTED BY** the governing board of the Livermore Amador Valley Transit Authority on this 3rd day of May 2021.

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Bob Woerner, Chair

Attest:

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Michael Tree, Executive Director